



Attorney Docket No. 20429/9-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2611
Box 500
#7
8-2
08.08.03

APPLICANT: Seth Haberman, et al.

Confirmation No.: 5373

APPL. NO.: 09/841,465

Group Art Unit: 2611

FILED: April 24, 2001

Examiner: A. Faile

FOR: *SYSTEM AND METHOD FOR SIMULTANEOUS BROADCAST FOR
PERSONALIZED MESSAGES*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on:

By: June E. Kaps

June E. Kaps

July 23, 2003
Date

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
SUBMITTING REFERENCES ON COMPACT DISC**

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

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I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- a. X **Submitted herewith on Compact Disc is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.**

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

- a. X Except as may be indicated below in (b), **all of the patents, publications or other information are in the English language** or were cited in an English language Search Report, a copy of which is attached hereto **(concise explanation not required).**
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)

(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☒ **before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required.** In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e)below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):

(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. . § 1.17(p).
- or
- b. ☐ See the certification below. No fee is required.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

 Please charge Deposit Account No. 500369 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

 X No fee is required.

VII. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.704(d) (PATENT TERM
ADJUSTMENT)

Applies to original applications (other than design) filed on or after May 29, 2000

- a. ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- b. ☒ Enclosed herewith is form PTO-1449.
- c. ☒ Copies of cited references are enclosed on a Compact Disc.
- d. ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

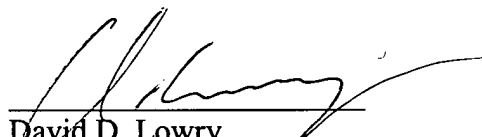
Application No. 09/841,465
Filed: April 24, 2001
Group Art Unit: 2611

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 500369.

Respectfully submitted,

Date:

1/23/03



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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO. 20429/9-C		SERIAL NO. 09/841,465	
				APPLICANT(S): Seth Haberman, et al.			
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UNITED STATES PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
		RE-34,340	8/1993	Freeman	358	86	
		2002/0095676	7/2002	Knee et al.	725	46	
		2002/0092017	7/2002	Klosterman et al.	725	35	
		3,366,731	1/1968	Wallerstein	358	86	
		3,639,686	2/1972	Walker et al.	455	4.1	
		4,331,974	5/1982	Cogswell et al.	358	86	
		4,475,123	10/1984	Dumbauld et al.	358	86	
		4,573,072	2/1986	Freeman	358	86	
		4,602,279	7/1986	Freeman	358	86	
		4,625,235	11/1986	Watson	358	86	
		4,638,359	1/1987	Watson	358	142	
		4,703,423	10/1987	Bado et al.	395	201	
		4,716,410	12/1987	Nozaki	358	86	
		4,789,235	12/1988	Borah et al.	351	246	
		4,847,698	7/1989	Freeman	358	343	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN Y/N
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)							
Examiner:				Date:			

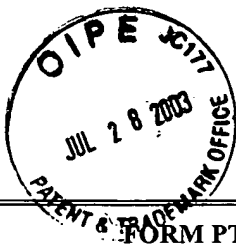
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EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
		4,847,699	7/1989	Freeman	358	343	
		4,847,700	7/1989	Freeman	358	343	
		4,850,007	7/1989	Marino et al.	379	67	
		4,918,516	4/1990	Freeman	358	86	
		5,099,422	3/1992	Foresman et al.	358	86	
		5,105,184	4/1992	Pirani et al.	345	115	
		5,155,591	10/1992	Wachob	455	4.2	
		5,173,900	12/1992	Miller et al.	370	110.1	
		5,220,501	6/1993	Lawlor et al.	380	24	
		5,231,494	7/1993	Wachob	358	146	
		5,260,778	11/1993	Kauffman et al.	358	86	
		5,305,195	4/1994	Murphy	705	1	
		5,343,239	8/1994	Lappington et al.	348	12	
		5,347,632	9/1994	Filepp et al.	395	200.32	
		5,361,393	11/1994	Rossillo	395	651	
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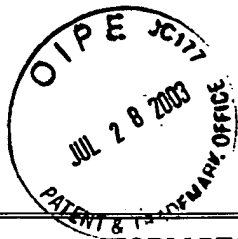


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EXAM. INITIAL		DOCUMENT NUMBER	DATE	INVENTOR	CLASS	SUB CLASS	FIL. DATE IF APPR
		5,377,354	12/1994	Sennell et al.	395	673	
		5,424,770	6/1995	Schmelzer et al.	348	9	
		5,442,771	8/1995	Filepp et al.	395	200.49	
		5,446,919	8/1995	Wilkins	455	6.2	
		5,448,568	9/1995	Delpuch et al.	372	94.2	
		5,499,046	3/1996	Schiller et al.	348	6	
		5,515,270	5/1996	Weinblatt	395	214	
		5,519,433	5/1996	Lappington et al.	348	2	
		5,526,035	6/1996	Lappington et al.	348	13	
		5,537,141	7/1996	Harper et al.	348	12	
		5,548,532	8/1996	Menand et al.	364	514	
		5,566,353	10/1996	Cho et al.	455	2	
		5,584,025	12/1996	Keithley et al.	707	104	
FOREIGN PATENT DOCUMENTS							
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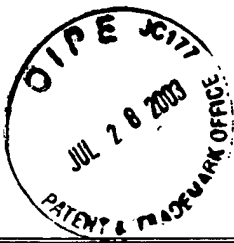


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		5,594,910	1/1997	Filepp et al.	395	800	
		5,617,142	4/1997	Hamilton	348	405	
		5,632,007	5/1997	Freeman	395	75	
		5,636,346	6/1997	Saxe	395	201	
		5,638,113	6/1997	Lappington et al.	348	12	
		5,682,196	10/1997	Freeman	348	13	
		5,717,923	2/1998	Dedrick	707	102	
		5,724,091	3/1998	Freeman et al.	348	13	
		5,724,521	3/1998	Dedrick	705	26	
		5,734,413	3/1998	Lappington et al.	348	12	
		5,740,388	4/1998	Hunt	395	328	
		5,740,549	4/1998	Reilly et al.	705	14	
		5,761,601	6/1998	Nemirofsky et al.	455	3.1	
		5,764,275	6/1998	Lappington et al.	348	12	
FOREIGN PATENT DOCUMENTS							
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		5,768,521	6/1998	Dedrick	395	200.54	
		5,774,664	6/1998	Hidary et al.	395	200.48	
		5,784,095	7/1998	Robbins et al.	348	6	
		5,784,528	7/1998	Yamane et al.	386	112	
		5,796,945	8/1998	Tarabella	395	200.49	
		5,802,314	9/1998	Tullis et al.	395	200.76	
		5,825,884	10/1998	Zdepski et al.	380	25	
		5,867,208	2/1999	McLaren	348	13	
		5,887,243	3/1999	Harvey et al.	455	3.1	
		5,913,031	6/1999	Blanchard	395	200.34	
		5,917,830	6/1999	Chen et al.	370	487	
		5,929,850	7/1999	Broadwin et al.	345	327	
		5,931,901	8/1999	Wolfe et al.	709	206	
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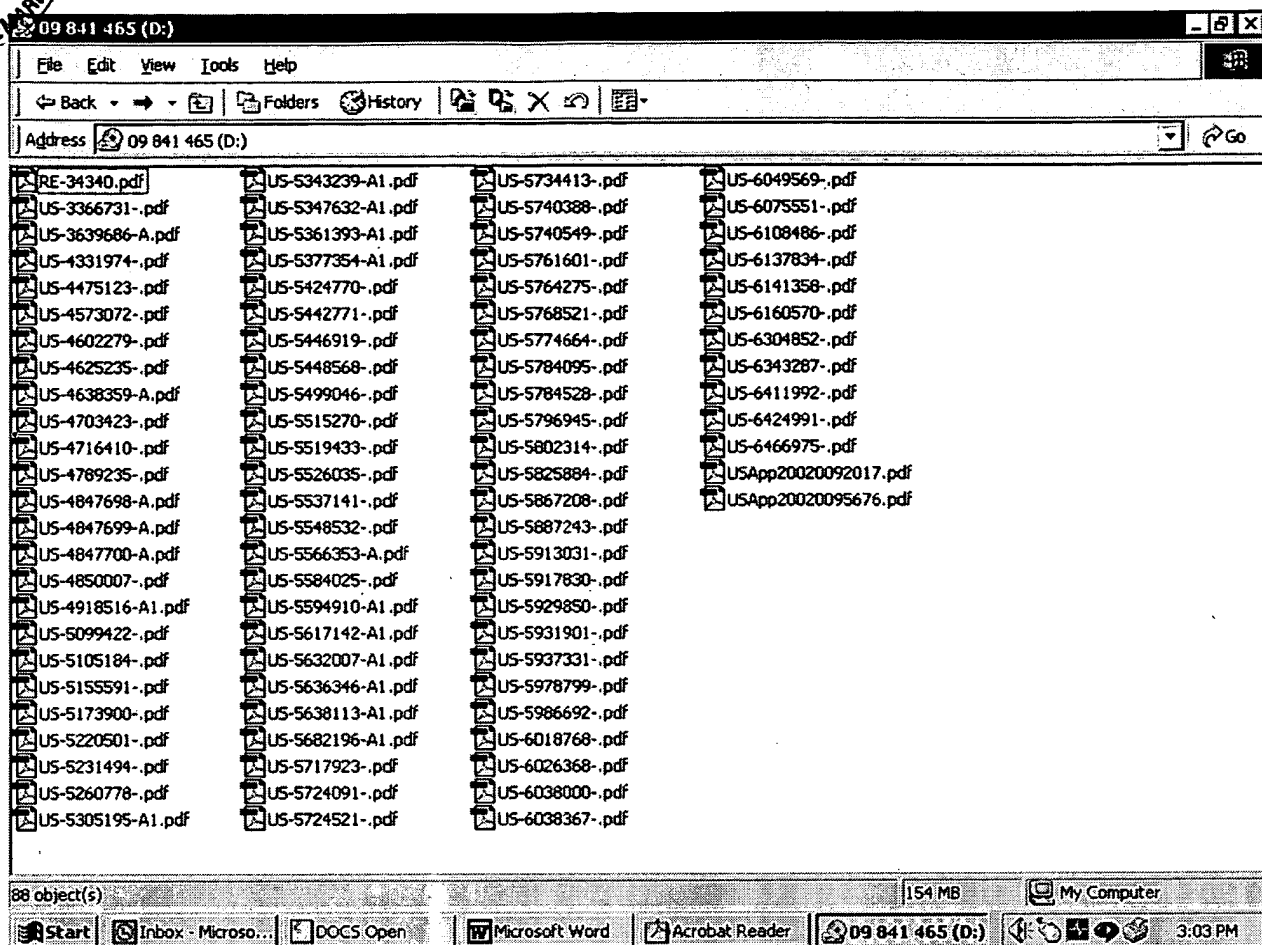
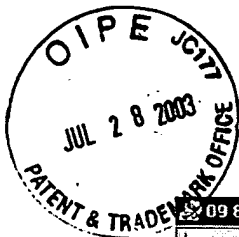
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		5,937,331	8/1999	Kalluri et al.	455	6.1	
		5,978,799	11/1999	Hirsch	707	4	
		5,986,692	11/1999	Logan et al.	348	13	
		6,018,768	1/2000	Ullman et al.	709	218	
		6,026,368	2/2000	Brown et al.	705	14	
		6,038,000	3/2000	Hurst Jr., et al.	348	845	
		6,038,367	3/2000	Abecassis	386	46	
		6,049,569	4/2000	Radha et al.	375	240	
		6,075,551	6/2000	Berezowski et al.	348	9	
		6,108,486	8/2000	Sawabe et al.	386	98	
		6,137,834	10/2000	Wine et al.	375	240	
		6,141,358	10/2000	Hurst, Jr. et al.	370	543	
		6,160,570	12/2000	Sitnik	348	1	
		6,304,853	10/2001	Lonctaux	705	14	
		6,343,287	1/2002	Kumar et al.	707	4	
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		6,411,992	6/2002	Srinivasan	709	218	
		6,424,991	6/2002	Gish	709	203	7/1996
		6,466,975	10/2002	Sterling	709	223	1/2000
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